



City of Wilmington - 1165 South Water Street - Wilmington, IL 60481

**Agenda – Committee of the Whole
Wilmington City Hall Council Chamber
November 9, 2022 at 5:30 p.m.**

In Person & Via Zoom

join by video at:

<https://us02web.zoom.us/j/84817691875?pwd=a0ZzNjk2by9Ralc4c2RveHBLSDdLUT09>

join by phone at:

1-312-626-6799

Meeting ID: 848 1769 1875 / Passcode: 706675

1. Call to Order
2. Roll Call by City Clerk
 - Kevin Kirwin
 - Dennis Vice
 - Leslie Allred
 - Todd Holmes
 - Ryan Jeffries
 - Ryan Knight
 - Jonathan Mietzner
 - Thomas Smith
3. Approve the Previous Meeting Minutes
4. Public Comment (*State your full name clearly; limit 3 minutes each per Ordinance 19-06-18-01*)
5. Administrator's Report
 - a. Certified Local Government Program
6. Building Inspector's Report
 - a. October 2022 Summary
7. Police & ESDA
 - Co-Chairs Alderman Mietzner & Alderman Allred*
 - a. Director of ESDA Monthly Summary Report
 - b. Other Pertinent Information
8. Ordinance & License
 - Co-Chairs Alderman Kirwin & Alderman Knight*
 - a. Review Proposed Business – Francesca's at 692 W. Baltimore Street
 - b. Consideration to Approve an Ordinance Amending Provisions of Chapter 112, Section 25 of the Municipal Code of Ordinances Imposing Restrictions of the Number of Liquor Licenses Authorized – Specifically Increasing the Class A Liquor License by One for Issuance to Francesca's at 692 W. Baltimore Street
 - c. Other Pertinent Information
9. Buildings, Grounds, Parks, Health & Safety
 - Co-Chairs Alderman Jeffries & Alderman Smith*
 - a. Other Pertinent Information
10. Water, Sewer, Streets & Alleys
 - Co-Chairs Alderman Vice & Alderman Holmes*
 - a. Director of Public Works Monthly Summary Report
 - b. Consideration to Adjust Seasonal Employee Wage Range
 - c. Consideration of Chamlin & Associates Road Repair Estimates
 - d. Other Pertinent Information

AMENDMENT NO. 1

Posting Date:

11/7/2022 3:56 PM jz

11. Personnel & Collective Bargaining

Co-Chairs Alderman Mietzner & Alderman Holmes

- a. Other Pertinent Information

12. Finance, Administration & Land Acquisition

Co-Chairs Alderman Kirwin & Alderman Jeffries

- a. Direction Regarding Collateralizations of Accounts at Grundy Bank

13. Adjournment

The next Committee of the Whole meeting is scheduled for Tuesday, December 13, 2022, at 5:30 PM.

AMENDMENT NO. 1

Posting Date:

11/7/2022 3:56 PM jz

Minutes of the Committee of the Whole
Wilmington City Hall
1165 South Water Street
October 11, 2022

Call to Order

The Committee of the Whole meeting on October 11, 2022, was called to order at 5:30 p.m. by Mayor Dietz in the Council Chamber of Wilmington City Hall.

Roll Call

Upon Roll Call by the Clerk the following members of the corporate authorities answered "Here" or "Present":

Aldermen Present Kirwin, Jeffries, Vice, Knight, Allred, Mietzner, Holmes, Smith^(5:47 p.m.)

Quorum

There being a sufficient number of members of the corporate authorities in attendance to constitute a quorum, the meeting was declared in order.

Other Officials in Attendance

Also, in attendance were the City Administrator Jeannine Smith, Chief of Police Joe Mitchell, Public Works Director James Gretencord, and Deputy City Clerk Joie Ziller.

Approve Previous Meeting Minutes

Alderman Vice made a motion and Alderman Mietzner seconded to approve the September 13, 2022 meeting minutes and have them placed on file. **Upon the voice vote, the motion carried.**

Public Comment

Duane Kane requested that the City inspect and address the drainage issue on N. Water Street by his buildings.

Steve Evans thanked the City for the assistance prepping for and during the Chamber of Commerce's Autumn Festival of Crafts.

Administrator's Report

Administrator Smith stated that the Planning & Zoning Commission approved the text amendment related to historical preservation, so this will be on the next Council agenda for consideration of approval by the City Council. The template for the quarterly newsletter with the Library and Park District is almost complete. The EV Parking Lot is complete minus the electrical cabinet which is expected to be delivered and installed in January. Administrator Smith stated that she will be attending the International Council of Shopped Centers Seminar being held at Navy Pier on October 20th.

Deputy City Clerk Report

Deputy City Clerk stated that in July 2022 the State of Illinois approved the City's application for authority to dispose of local records. This application is the City's authority to dispose of each record series in accordance with the retention period.

Building Inspector's Report

The Building Inspector's report was provided in the agenda packet. No further discussion was had regarding this report.

Police & ESDA

Co-Chairs Alderman Mietzner & Alderman Allred

Chief of Police Monthly Summary Report

Chief Mitchell briefed the Council on the monthly happenings within the department. This report was included in the meeting agenda packet.

Consideration of Range Improvement Fees to Outside Law Enforcement Entities

Chief Mitchell requested the Committee to consider establishing a fee for other agencies that utilize the City's shooting range. The Committee was in favor of fees being established.

Director of ESDA Monthly Summary Report

The Committee reviewed the ESDA Report included in the agenda packet as prepared by Director Housman. No further discussion was had regarding the report.

Review the Draft Ordinance Declaring as Surplus Certain Equipment and Authorizing the ESDA Director to Dispose of Said Equipment in a Manner in the Best Interest of the City of Wilmington

The Committee reviewed the ordinance related to the surplus of the Dodge Durango. The Committee was in favor of moving this ordinance to the City Council for full approval.

Other Pertinent Information

No other pertinent information was discussed.

Ordinance & License Committee

Co-Chairs Alderman Kirwin & Alderman Knight

Other Pertinent Information

No other pertinent information was discussed.

Buildings, Grounds, Parks, Health & Safety Committee

Co-Chairs Alderman Jeffries & Alderman Smith

Consideration to Approve Claire's Corner Holiday Lights Proposal by Principal Lighting, Inc.

The Committee reviewed the proposal as presented in the agenda packet. Alderman Smith requested that lights be placed on the fence bordering the park. A revised proposal will be presented at the October 18th City Council meeting for consideration.

Other Pertinent Information

No other pertinent information was discussed.

Water, Sewer, Streets and Alleys Committee

Co-Chairs Alderman Vice & Alderman Holmes

Director of Public Works Monthly Summary Report

Director Gretencord briefed the Council on the monthly happenings within the department. This report was included in the agenda packet.

Consideration to Approve Water-Filled Jersey Barrier Estimate Submitted by Ewing Safety & Industrial, Inc.

The Committee reviewed the quote as presented in the agenda packet. The Committee agreed to move this to the October 18th Council meeting for approval.

Consideration to Approve Authorization #7 for the Kankakee River Drive & Wilmington-Peotone Road Intersection Improvement

The Committee reviewed the documentation as presented in the agenda packet. The Committee agreed to move this to the October 18th Council meeting for approval.

Consideration to Approve Engineer's Pay Estimate #9 for the Wilmington-Peotone Road at IL 53 Project

The Committee reviewed the documentation as presented in the agenda packet. The Committee agreed to move this to the October 18th Council meeting for approval.

Other Pertinent Information

Alderman Kirwin requested that a street light be placed on Kankakee Street near the Foxtail Commons subdivision.

Personnel & Collective Bargaining Committee

Co-Chairs Alderman Mietzner & Alderman Holmes

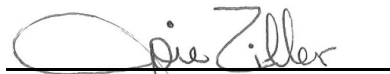
Other Pertinent Information

No other pertinent information was discussed.

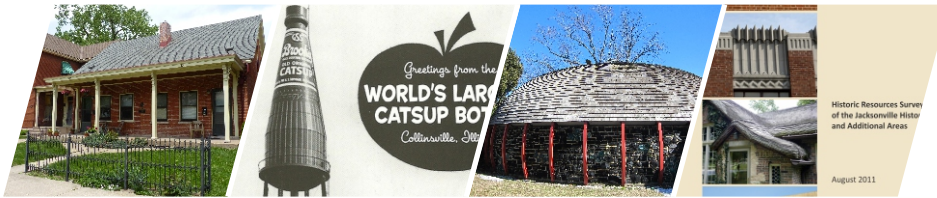
Adjournment

The motion to adjourn the meeting was made by Alderman Holmes and seconded by Alderman Mietzner. **Upon the voice vote, the motion carried.** The Committee of the Whole Meeting held on October 11, 2022, adjourned at 6:14 p.m.

Respectfully submitted,



Joie Ziller, Deputy City Clerk



Certified Local Government Program

What is it?

The Certified Local Government (CLG) Program recognizes local governments that have established effective historic preservation programs that meet criteria established by the U.S. Secretary of the Interior and the State of Illinois. In 1980, Congress expanded the National Historic Preservation Act of 1966 so that local governments could participate in national preservation activities through the CLG program.

Benefits

Municipal and county governments benefit from CLG by: ► becoming eligible to receive matching grant funds set aside specifically for preservation-related projects; ► participating in state and federal historic preservation protection and incentive programs; ► receiving direct technical assistance from the Illinois State Historic Preservation Office (IL SHPO); ► joining a national network of communities and experts that provide valuable perspectives on local and statewide plans and programs; and ► playing an advisory role in the nomination process for the National Register of Historic Places.

Requirements

To qualify as a CLG, a local government must: ► enact a historic preservation ordinance and enforce state and local preservation laws; ► maintain an adequate and qualified historic preservation review commission; ► maintain a system for the survey and inventory of historic properties; and ► provide for public participation in the local historic preservation program.

Process

The chief elected official of the local government can, at any time, request certification from the IL SHPO by submitting: ► written assurance that the local government intends to fulfill the CLG requirements; ► a copy of the local historic preservation ordinance to be reviewed and approved by the IL SHPO; ► a list of locally designated landmarks and historic districts; ► résumés for all historic preservation commissioners, showing their demonstrated interest, knowledge, or expertise in historic preservation; and ► a copy of the community's historic preservation plan, if available, or a statement describing the local preservation program, including survey, designation, and protection activities. ► If the IL SHPO determines that the local government meets these requirements, the IL SHPO prepares an agreement listing the CLG's responsibilities. This agreement, signed by the chief elected official and the IL SHPO, is forwarded to the U.S. Secretary of the Interior. If the Secretary does not take exception within 15 working days of receipt, the local government is certified as a CLG. A CLG must submit an annual report to the IL SHPO detailing its historic preservation activities. The IL SHPO periodically monitors the CLG to ensure that it is fulfilling the responsibilities outlined in the agreement.

Grants

Each year the IL SHPO receives an appropriation from the Federal Historic Preservation Fund to assist in administering federal preservation programs. The IL SHPO must pass at least 10% of that funding to CLGs for use in a variety of preservation-related projects, including surveys, National Register nominations, preservation education, and preservation plans. CLG grantees must match 30% of the cost of the grant-funded project with cash or in-kind contributions and are encouraged to discuss their proposal with the IL SHPO prior to applying.

National Register

When a property within a CLG is proposed for listing on the National Register, the IL SHPO forwards the nomination to the chief elected official and the preservation commission. The CLG has 60 days to review the nomination, solicit public comment, and provide any comments to the IL SHPO, or it can waive its comment period.

Questions?

IL SHPO—Local Government Services Coordinator
 Illinois Department of Natural Resources
 One Old State Capitol Plaza
 Springfield, Illinois 62701
 (217) 785-5031
<https://www2.illinois.gov/dnrhistoric/Preserve/Pages/community.aspx>

OCTOBER

Permit #	Date	Addresss	Permit Description	Perit Value	Permit cost	Inspections	Project Type	Paid
WI-22-178	10.04.22	408 E Baltimore	Reroof		9300	200 WI	RFR	Pd
WI-22-179	10.04.22	502 S Kankakee	Res Interior Demo		500	125 FN	DEM	Pd
WI-22-180	10.11.22	21391 Falcon	Fence Installation		6125	225 PH-FN	RRC	Pd
WI-22-181	10.11.22	1519 Charlotte	Generator Installation	7517.39		175 FN	RRC	Pd
WI-22-182	10.11.22	755 JoAnn	Generator Installation	7937.65		175 FN	RRC	Pd
WI-22-183	10.11.22	220 N Mitchell	Fence Installation		3117	200 PH-FN	RRC	Pd
WI-22-184	10.11.22	1200 Towpath	Roof & Gutters		18945	325 WI-FN	RFR	Pd
WI-22-185	10.17.22	24027 Lorenzo	Concrete Repair		17000	720 Final	IRC	Pd
WI-22-186	10.17.22	24027 Lorenzo	Concrete Replacement		23500	485 PP-FN	IRC	Pd
WI-22-187	10.17.22	29700 Graaskamp	Concrete Replacement		6700	350 PP-FN	IRC	Pd
WI-22-188	10.17.22	30131 Elion	Concrete Replacement		12300	573 PP-FN	IRC	pD
WI-22-189	10.18.22	509 W Cross	Paver Patio-Shed		6500	175 FN	RRC	Pd
WI-22-190	10.18.22	407 Circle	Roof Replacement		3000	125 WI-FN	RFR	
WI-22-191	10.19.22	200 N Water	Commercial Remodel		4200	420 List	CRC	Pd
WI-22-192	10.19.22	740 1/2 S KKK	Roof Replacement		3650	200 WI-FN	RFR	Pd
WI-22-193	10.19.22	112 Daniels	Siding,Windows,Fence,Shed		3000	200 PH-HW-FN	RRC	Pd
WI-22-194	10.20.22	418 N Joliet	Removal of Fireplace/Chase		8200	175 FN	RRC	Pd
WI-22-195	10.20.22	906 S Joliet	Sewer Replacement		4065	210 UG-FN	RRC	Pd
WI-22-196	10.20.22	505 Becky	Fence Installation		9900	250 PH-FN	RRC	Pd
WI-22-197	10.20.22	800 Wilshire	Roof Replacement		23740	350 WI-FN	RRC	Pd
WI-22-198	10.20.22	32361 SW River Rd	Fence Installation		6930	225 PH-FN	RRC	Pd
WI-22-199	10.20.22	120 S Washington	Roof Replacement		6001	225 WI-FN	RRC	Pd
WI-22-200	10.24.22	24860 Design	Sign Installation		8859	200 FN	IRC	Pd
WI-22-201	10.24.22	502 S Kankakee	Residential Remodel		27100	880 List	RRC	Pd
WI-22-202	10.25.22	21555 NoWake	Fence Installation		2990	175 PH-FN	RRC	Pd
WI-22-203	10.25.22	200 S KKK	Roof Replacement		10750	250 WI-FN	RRC	
WI-22-204	10.27.22	Building 7	New Industrial	47861175	661083.25	List	INC	
WI-22-205	10.31.22	730 Koala	Fence Installation		7000	225 PH-FN	RRC	
WI-22-206	10.31.22	753 Kerry	Fence Installation		10198	250 PH-FN	RRC	Pd
WI-22-207	10.31.22	29700 Graaskamp	Concrete Replacement		16000	810 PP-FN	IRC	Pd
WI-22-208	10.31.22	508 S Buchanan	Fence Installation		2083	175 PH-FN	RRC	
WI-22-209	10.31.22	273 Jennifer	Roof Replacement		11750	250 WI-FN	RRC	Pd

WI-22-210 10.31.22 560 Davy

Asphalt Installation

7500
48157533.04

300 PP-FN
670706.25

IRC



Wilmington Emergency Services Disaster Agency

103 North Main Street • Wilmington, IL 60481

Bus. (815) 476-2334 Fax (815) 476-5291

Wilmington ESDA

Committee Report

11-8-22

10-8-22	Rehab	Apartment Building Fire/Wilmington
10-8-22		Set up a temporary shelter in the ESDA Building for the victims of the apartment building fire on 345 N. First St., Took care of 6 residents for six hours until Red Cross arrived to help the residents.
10-11-22	Traffic	Mutual Aid/ Braidwood ESDA/ Vehicle accident
10-11-22	Traffic	Mutual Aid/ Wilmington Police/ Rail road crossing repair.
10-21-22, 10-22-22		Traffic/ Lion Club Spook Hike event.
10-25-22	Traffic	Mutual Aid Wilmington PD, FD/wires down

Training:

10-27-22 10 members attended a Hazardous Material Awareness Refresher class provided by Will County EMA.

Upcoming Training:

11-10-22 Members will be attending Traffic Incident Management Class provided by Wilmington Fire and Illinois State Police.

11-17-22 Six members will be attending a Star Comm radio training class.

11-17-22 Members will be visiting WESCOM Dispatch Center for a tour of the facility.

*As of November 1st, Wilmington ESDA has had 62 call outs for the year.

Received

OCT 20 2022 *Hitt.*

City of Wilmington

City of Wilmington
Liquor Control Commissioner



Ben Dietz
Mayor

APPLICATION FOR CITY OF WILMINGTON RETAILER'S LIQUOR LICENSE

**REMEMBER: YOU CANNOT PURCHASE OR SELL
ALCOHOL WITHOUT A VALID LIQUOR LICENSE!**

Definition: A Retailer's Liquor License shall allow the licensee to sell and offer for sale at retail, only at the premises specified in such license, alcoholic liquor for use or consumption, but not for resale in any form; provided that any retail liquor license issued to a manufacturer shall only permit such manufacturer to sell alcoholic beverages at retail on the premises actually occupied by such manufacturer [235ILCS 5/5-1(d)] the only exception being a wine-maker's retail license – 2nd location [235 ILCS 5/5-1(i)]. All applicants for licensing as a liquor "retailer" must complete this application for. Respond to all questions on the application and furnish all required supporting document. Failure to do so will result in the rejection of the application and non-issuance of a city liquor license.

The following documents and information are **REQUIRED** prior to receiving for your state license:

- 1) Photocopy of **Certificate of Insurance** with City of Wilmington as certificate holder;
- 2) **Proof of Purchase**, i.e. bill of sale or closing statement (the closing on the purchase of business **MUST** occur prior to applying of your city license). **IMPORTANT:** You must also present proof that the applicant (i.e. Corporation, LLC, Partnership, or Sole Proprietor) has the right to possession of the property (i.e. Deed or Lease), if applicable;
- 3) **Federal Employer Identification Number (FEIN)**. Call 1-800-829-3676 to apply for number.
- 4) **Illinois Business Tax (Sales Tax Account) Number**, if applicable, visit www.tax.illinois.gov or call 1-217-785-3707;
- 5) A **floor plan** of the premises or place of business which is to be operated under such license, including the portions to be used for storage of liquor, as well as the portions to be used for sale or service of liquor;
- 6) **Live scanning** of the fingerprints for each owner and manager listed on the application. \$50.00 live scan fee per person. Services provided at Wilmington Police Department.
- 7) **Check or Money Order** payable to the "City of Wilmington";
- 8) This application with the information requested printed or typed on the spaces provided. This form **MUST** bear an **Original Signature**.

Application for the City of Wilmington Retailer's Liquor License

1. **Applicant – Corporate Information**

A. **FEIN**

Enter your Federal Identification Number (FEIN) in this box. The FEIN is a nine-digit number issued by the U.S. Internal Revenue Service. This number is used for verification purposes only. If you do not have an FEIN number, call 1-800-829-3676 for general information on how to apply and to obtain the form you will need.

FEIN No.
88-3008948

B. **Illinois Business Tax Number (Sales Tax Account Number)**

Enter the eight-digit Illinois Department of Revenue Business Tax (Sales Tax Account) Number. **YOU MUST HAVE THIS NUMBER IN ORDER FOR A LICENSE TO BE ISSUED.** If you need to obtain this number, visit www.tax.illinois.gov and click on the "Businesses" and then the "Business Registration." If you have any questions, call 1-217-785-3707.

Illinois Business Tax No.
4452-5605

C. **Telephone**

Enter the area code/telephone number/extension of the sole proprietorship, corporation, etc.

Area Code/Telephone No.
(773) 851-8093 EXT.

D. **Name**

Enter the name of the sole proprietorship (assumed name), partnership, corporation (Illinois, national, or foreign), or limited liability company in this box. **Note! This name must be consistent with the name printed on your Illinois Department of Revenue Sales Tax Registration Certificate.**

Name
Francesca's Wilmington, LLC

E. **Address**

Enter the street address, city, state and Zip Code of the sole proprietorship, corporation, etc.

Address
692 W. Baltimore St Wilmington, IL 60481

2. **Status of Business**

Check the application box (assumed name/sole proprietorship, partnership, Illinois corporation, foreign corporation, limited liability company) which corresponds to your business' official papers filed with the Office of the Secretary of State.

Based on the box that you check, provide the date of filing of the sole proprietorship/assumed name with the county clerk; in the case of the co-partnership, the date of the formation of the partnership; in the case of an Illinois corporation, the date of its incorporation; in the case of a foreign state where it was incorporated and the date, as well as the date of it becoming qualified un the "Business Corporation Action of 1983" to transact business in the State of Illinois; in a case of a limited partnership, the date of formation of such partnership; or in the case of a limited liability company, the date of formation if such entity.

NOTE! In the case of a sole proprietorship, Section 5/6-2 of the Illinois Liquor Control Act requires that the business owner reside within the jurisdiction that grants the local liquor license.

- A. Sole Proprietorship Date Filed with County Clerk: _____
- B. Partnership Date of Formation: _____
- C. Illinois Corporation Date of Incorporation: _____
- D. Foreign Corporation State of Incorporation: _____
- E. Limited Liability Corporation Date Formed: _____

If "C" and "D" is checked, indicate your current Secretary of State file number here _____
 (If you do not have this number available, please contact the Secretary of State's office at 1-312-793-3380)

3. Ownership Information

Provide the owner/officer/partner information in accordance with the business status described under Question 2. This information must be submitted for all owners/officers/partners. The same information must be submitted for shareholders with interests equal to or exceeding 5%.

The following information must be provided for each individual applicant, sole proprietor, partner, corporate officer or director (whether or not they own any stock), shareholder owning in the aggregate stock equal to or more than 5%, (including officers, directors and shareholders with stock equal to or more than 5% for all corporate shareholders). Indicate the total percentage of stock of the corporation, if any, which is held by persons who hold less than 5% interest. All not-for-profit organizations and associations must provide the requested information for all corporate officers, directors and managers. If additional space is needed, provide information on a separate sheet(s) in the same format as this application requires.

For each owner/officer, partner/5% shareholder, provide full name, home address, city, state, Zip Code, social security number, date of birth, sex, title/position, home telephone number, and percentage ownership. Percentage ownership should equal 100%. If there are a number of shareholders owning less than 5%, indicate the aggregate total of ownership under F.

NAME (LAST, FIRST, MI)		HOME ADDRESS		CITY	STATE	ZIP
Scali John M				OSwego	IL	60543
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	TELEPHONE NO.	% OWNED	
			Member			

NAME (LAST, FIRST, MI)		HOME ADDRESS		CITY	STATE	ZIP
Gonzalez Alvaro				grove	IL	60053
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	TELEPHONE NO.	% OWNED	
	7/11		member	580-75		

NAME (LAST, FIRST, MI)		HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	TELEPHONE NO.	% OWNED	

NAME (LAST, FIRST, MI)		HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	TELEPHONE NO.	% OWNED	

NAME (LAST, FIRST, MI)		HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	TELEPHONE NO.		% OWNED

Total Percentage of all stock held by all persons with less than 5% interest 100 %

4. Manager Information

Provide the full name, home address, city, state, Zip Code, social security number, date of birth, sex, title/position, and home telephone number of manager. **NOTE! The liquor manager must be present on the licensed premise at least thirty-five (35) hours per week.**

NAME (LAST, FIRST, MI)		HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	TELEPHONE NO.		% OWNED

5. Business Premise Information

If your renewal application, your license certificate and other correspondence sent to your business premise address, please check the box to the left.

A. Name/Doing Business As (D/B/A)

Enter the name of the business which will be selling or serving alcoholic beverages at the licensed premise. **NOTE! This name must be consistent with the name printed on your Illinois Department of Revenue Sales Tax Registration Certificate.**

Name (Doing Business As D/B/A)
Francesca's

B. Telephone

Enter the area code/telephone number/extension at the business premise location.

Area Code/Telephone No.
EXT.

C. Address

In the boxes below enter the address, city, state, Zip Code of the business premises. This address information must be consistent with information on your Illinois Department of Revenue Sales Tax Registration Certificate.

Address	City	State	Zip Code
692 W. Baltimore St	Wilmington	IL	60481

D. Business Type

Check the one box which best describes the type of business in operation. If the selections listed are inappropriate, describe the business under "other".

- | | | |
|--|---|---|
| <input type="checkbox"/> Drug Store/Pharmacy | <input type="checkbox"/> Liquor Store | <input type="checkbox"/> Convenience & Gas |
| <input type="checkbox"/> Restaurant | <input type="checkbox"/> Department Store | <input type="checkbox"/> Small Grocery |
| <input type="checkbox"/> Convenience | <input type="checkbox"/> Bar/Tavern | <input type="checkbox"/> Gas Station |
| <input type="checkbox"/> Supermarket | <input type="checkbox"/> Hotel/Motel | <input checked="" type="checkbox"/> Other <u>video gaming cafe.</u> |

E. Warehousing

If any of your inventory is warehoused, provide the name, street address, city, state, and Zip Code of the warehouse.

Address	City	State	Zip Code

F. Leased Premises

If you lease your premises, the lease must cover the full term of the license. If you lease, provide the landlord's name, telephone number, street address, city, state and Zip Code.

Landlord's Name		Telephone Number	
Ace Group LLC			
Address	City	State	Zip Code
1413 S. Briggs St.	Joliet	IL	60433

YOU MUST PROVIDE A COPY OF THE LEASE

G. Tobacco Products

Will your business sell cigarettes or tobacco products? Yes No

If yes, what method will be used Vending Machine Over the Counter

Amount of total sales that come from sale of tobacco products less than 50% more than 50%

H. Coin Operated Machines

Will the business have coin operated, electronic amusement or vending machines? Yes No

If yes, how many coin operated machines on premise? _____

What type of machines will be used? _____

Will the machines be leased or owned? Leased Owned

If leased, provide name and address of vending company owning and servicing the machines.

Will credits be allowed to be exchanged for cash value or remaining credits be allowed to be refunded?

Yes No

Does the business have a valid license through the City to operate a coin operated machine?

Yes No

6. Eligibility Questions

The questions below pertain to the applicant and any other person listed under "Corporate Officer/Ownership Information" listed in section 3 and "Manager Information" in section 4 of this form. If any questions are answered with a "Yes" attached a full written explanation to this document.

- Yes No Are you a resident of Wilmington?
- Yes No Are you a U.S. Citizen?
- Yes No Are you delinquent in the payment of any Illinois business taxes (sales, withholding, etc.)?
- Yes No Are you delinquent under the "cash beer" law?
- Yes No Have you ever made application for a liquor license which has been denied?
- Yes No Have you ever had any previous liquor license suspended or revoked?

- Yes No Have you ever been convicted of a felony?
- Yes No Have you ever been convicted of a dabbling offense as defined under section 5/6-2 of the Act which includes offenses enumerated in 720 ILCS 5/28-1(a).11 "gambling;" 720 ILCS 5/28-1.1(a)-(d) "syndicated gambling;" and 720 ILCS 5/28-3 "keeping a gambling place"?
- Yes No Have you ever been convicted of being the keeper of a house of ill fame; or of pandering or other crime or misdemeanor opposed to decency or morality?
- Yes No Do you possess a current Federal Wagering Stamp?
- Yes No Are you, or is any other person having a direct interest in your place of business, a public or law enforcing official with jurisdictional authority?
- Yes No Have you received or borrowed money or anything of value directly or indirectly from any other licensees, representative of a licensee, or suppliers of alcoholic products?
- Yes No Are you or any other person having a direct interest in your place of business more than 30 days delinquent complying with a child support order?
- Yes No Are you in violation of the required liquor liability insurance coverage stated in 6-21 of the Liquor Control Act [235 ILCS 5/] regarding establishments that sell alcoholic liquors for use or consumption on the licensed premises?
- Yes No If a corporate Licensee, is your corporation ineligible to be issued this license?

7. Hours of Operations

List the daily hours open for business

Mon	Tues	Wed	Thurs	Fri	Sat	Sun
8Am-2Am	8Am-2Am	8Am-2Am	8Am-2Am	8Am-2Am	8Am-2Am	8Am-2Am

8. License Type

Check the applicable boxes below of the license type(s) the business is applying for:

- A – Retail Sale Bar - \$800 per year
- A1 – Retail Sale Bar/Carryout, Craft Beer & Wine Only - \$800 per year
- B – Retail/Carryout/Limited On Premise Consumption - \$800 per year
- B1 – Retail/Carryout, Beer and Wine Only - \$800 per year
- C – Fraternal Organizations - \$400 per year
- D – Restaurants - \$700 per year
- D1 – Restaurants, Beer and Wine Only - \$700 per year
- E – Not-for-profit Organizations / 24-hour maximum
- F – Retail Wine Only - \$200 per year
- G – Outdoor Sporting Event / Entertainment - \$1,900 per year
- H – Golf Course / Clubhouse - \$800 per year
- I-1 – Brew Pub - \$800 per year
- I-2 – Craft Brewery - \$450 per year
- W – Wine Tasting Event – no fee – no more than four per year

9. Certificate of Insurance

ATTACH PHOTOCOPY OF YOUR "CERTIFICATE OF INSURANCE" (NOT THE "POLICY DECLARATION")

You **MUST** provide a copy of Certificate of Insurance. The Certificate of Insurance must show that you have a liquor liability insurance and must include the following: 1) the applicant named as the insured (e.g. if the applicant is a corporation's name must be listed; if the applicant is the sole proprietor, then the sole proprietor's name must be listed.); 2) The address of the location where the liquor license is being consumed; and 3) The dates of coverage and the coverage limits.

10

Signature / Title / Date

Please sign and date the application form and provide your title with the organization. The application must be signed by an owner, an officer or partner. **The signature must be an original, rubber stamps are not accepted.**

I, the undersigned applicant or authorized agent thereof, swear or affirm that: the matters stated in the foregoing application are true and correct; they are made upon my personal knowledge and information; they are made for the purpose of requesting the City of Wilmington to issue the license herein applied for; the applicant is qualified and eligible to obtain the license applied for; and the applicant will not violate any of the laws of the United State of America, the state of Illinois, the City of Wilmington in particular, the Illinois Liquor Control Act, Rules and Regulations, and the Civil rights sections thereof.

Further, I agree to notify this liquor commission within 10 working days of changes in any of the above information.

(NOTE: If the person signing this application is not listed in section 3, they must provide the City with their personal information as indicated in section 3 even if they do not own 5% or more of the business.)

John Seal
Signature of Applicant

member
Title/Position

10/19/2022
Date

◆◆◆◆◆ For Office Use Only ◆◆◆◆◆

Class: _____

Date Paid: _____

Fee: _____

Date Issued: _____

Date Received: _____

Expiration Date: _____

Application Approved Denied

Signature of Authorized Person

Date

Reason for Denial (if Applicable): _____



City of Wilmington

1165 S. Water Street
Wilmington, IL 60481

Business License and Registration Application

New Business New Owner Renewal

Business Name _____

Business Address _____ Wilmington, IL 60481

Business Phone _____ Business Fax _____

Email _____ Website _____

Type of Ownership Sole Proprietor Partnership Corporation

Corporate Name (if applicable) _____

Corporate Address _____

Corporate Phone _____ Corporate Fax _____

Business Owner Name _____

Home Address _____

Home Phone _____ Cell _____

Name of Property Owner _____

Address _____

Phone _____ Cell _____

Brief Description of Business _____

Federal Employee Identification Number _____

Illinois Department of Revenue Number _____

What classification will your business apply to?

- Retail Industrial Manufacturing
 Professional Warehousing Public Services Other _____

How many employees? _____ Full Time _____ Part Time

Business Hours _____

Will any of the following types of services be provided by your business?

- Serving of food prepared on premises
 Over-the-Counter Tobacco Sales
 Live Music, including Disc Jockey
 Coin Operated Devices (include number of devices)
Pool Table(s) _____ Amusement Device(s) _____ Pinball _____
Juke Box(s) _____ Other _____
- Storage of flammable materials on property, other than cleaning products

If so, what type of materials? _____

Date of application _____

Signature of Applicant (owner)

Signature of Partner (if applicable)

❖❖❖❖❖ For Office Use Only ❖❖❖❖❖	
Application <input type="checkbox"/> Approved <input type="checkbox"/> Denied	
_____ <i>Signature of Authorized Person</i>	_____ <i>Date</i>
Fee: \$25.00	Business License Issued On _____
<i>Reason for Denial (if Applicable):</i> _____ _____	

City of Wilmington

1165 S. Water Street
Wilmington, IL 60481

Business Emergency Information

Business Name: _____

Business Address: _____

Phone: _____ Fax: _____

Business Hours: Sun _____ Mon _____ Tue _____
Wed _____ Thu _____ Fri _____ Sat _____

Business Owner: _____ Phone: _____

Building Owner: _____ Phone: _____

Emergency Keyholder Personnel Phone Numbers: (List in call out order)

Name: _____ Phone: _____

Cell: _____ Other: _____

Name: _____ Phone: _____

Cell: _____ Other: _____

Name: _____ Phone: _____

Cell: _____ Other: _____

Alarm Company: _____ Phone: _____

Type/Location of Alarms in Building: _____

List Any Hazardous Material Stored/Used: _____

List Any Special Safety/Security Concerns for Police: _____

Francesca's Menu

Pizza - Individual 8.5 oz.

Cheese	\$4.00
Cheese & Pepperoni	\$4.50
Cheese & Sausage	\$4.50

Bag of Chips	\$1.00
Fresh Baked Cookies	\$0.50

HOT BEVERAGES

Coffee	\$2.00
Decaf	\$2.00
Tea	\$2.00
Hot Chocolate	\$2.50

COLD BEVERAGES

Orange Juice	\$1.00
Cranberry Juice	\$1.00
Bottled Water	\$1.00

SOFT DRINKS (Can)

Coke	\$1.00
Diet Coke	\$1.00
Sprite	\$1.00
Red Bull	\$3.00

BEER

Domestic	\$3.00
Miller Lite	
Coors Lite	

Premium	\$3.50
Heineken	
Corona	
Modelo	
Blue Moon	

WHITE CLAW \$4.00

WINE by Sutter Home

White Wine	\$3.50
Chardonnay	
Pinot Grigio	

Red Wine	\$3.50
Cabernet Sauvignon	
Pinot Noir	

Cooks Champagne

By the Glass	\$4.00
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ORDINANCE NO. DRAFT

AN ORDINANCE AMENDING PROVISIONS OF CHAPTER 112, SECTION 25 OF THE MUNICIPAL CODE OF ORDINANCES IMPOSING RESTRICTIONS ON THE NUMBER OF LIQUOR LICENSES AUTHORIZED

WHEREAS, the City has enacted provisions restricting and regulating the sale of alcoholic liquors to protect the health, safety, and welfare of the inhabitants of the city and to affect temperance in the consumption of alcoholic liquors; and

WHEREAS, it is in the best interests of the City of Wilmington and its citizens that certain provisions of Chapter 112 of the Code of Ordinances be amended as provided herein.

NOW, THEREFORE, BE IT ORDAINED BY THE MAYOR AND CITY COUNCIL OF THE CITY OF WILMINGTON, WILL COUNTY, ILLINOIS, AS FOLLOWS:

SECTION 1:

That 112.25 of Chapter 112 of the Municipal Code of Ordinances is amended to read as follows:

112.25 Number of Liquor Licenses Authorized.

(A) There shall be available, for issuance by the local liquor control commissioner, the following number of licenses for each of the following classifications of liquor licenses:

Classification	Maximum Number Authorized
Class A Liquor License (Retail Sale/Bar)	10 11

SECTION 2: SEVERABILITY

If any section, paragraph, subdivision, clause, sentence, or provision of this Ordinance shall be adjudged by any Court of competent jurisdiction to be invalid, such judgment shall not affect, impair, invalidate or nullify the remainder thereof, which remainder shall remain and continue in full force and effect.

SECTION 3: REPEALER

All ordinances or parts of ordinances conflicting with any provisions of this ordinance are hereby repealed.

SECTION 4: EFFECTIVE DATE

This Ordinance shall be in full force and effect upon its passage and approval in the manner required by law.

PASSED this 15th day of November 2022 with _____ members voting aye, _____ members voting nay, the Mayor voting N/A, with 0 members abstaining or passing and said vote being:

Kevin Kirwin	_____	Ryan Jeffries	_____
Dennis Vice	_____	Ryan Knight	_____
Leslie Allred	_____	Jonathan Mietzner	_____
Todd Holmes	_____	Thomas Smith	_____

Approved this 15th day of November 2022

Ben Dietz, Mayor

Attest:

Joie Ziller, Deputy City Clerk



City of Wilmington Public Works

To: Honorable Mayor Dietz and City Council Members
From: James Gretencord, Director of Public Works
Subject: Director's Report
Date: November 9, 2022

Projects:

The Water Treatment Plant building is complete and awaiting our final inspection. Crews have begun the removal of scrap steel from the old building and Clennon Electric is working to finish the electrical work.

The Nutrient Assessment Reduction Planning (NARP) Workplan Development has concluded. Geosyntec has recommended a 4-phase plan to the Illinois EPA to conduct our NARP at an estimated cost of \$108,000 — this will be completed during FY24.

Sewer Department:

The Sewer Department has completed its compiling of influent and effluent data for Geosyntec and the NARP Study. The staff has been attending quarterly Kankakee River Watershed Stakeholder meetings to keep communication open between Water Reclamation and Soil Conservation Groups to share and report NARP Study findings.

Street Department:

The Street Department has completed 43 work orders in the month of October — including three water service leaks and approximately 400' of sidewalk replacement from our Sidewalk Share program. All 13 of the Street Department vehicles that require DOT safety inspections have been completed and do not expire for 6 months.

Water Department:

The Water Department replaced over 50 meters in the month of October; 16 of which were larger commercial meters. The number of estimated accounts is now down to approximately 45.

Seasonal Employees:

Steve Luhrsen and John Paquette have done an excellent job this year — greatly aiding the increased workload that warmer months bring Public Works. These tasks included but were not limited to mowing and string trimming, upkeep of North and South Island Parks, ensuring our downtown is clean and presentable, painting fire hydrants, and assisting with traffic control for water service leaks that occurred on West Baltimore and South Water Street. Combined, Steve and John have worked approximately 900 hours so far this year. With the weather cooling off and workload slowing down slightly, both employees have agreed to shift to a part-time, as-needed basis and will return to a routine 40-hour schedule next spring.



MEMO

Date: November 9, 2022

To: Honorable Mayor Dietz and City Council Members

From: James Gretencord, Director of Public Works

Cc: Jeannine Smith, City Administrator

Re: Increase Wage Range of Seasonal Employees

Budget Impact: \$3000-\$6000 Annually Line Item 01-05-6020

Request: Increase seasonal wage range from \$15-\$17 an hour to \$15-\$20 an hour.

Discussion: IDOT pays winter seasonal workers a starting wage of \$28 dollars an hour. In order to stay competitive and retain good seasonal employees staff requests an increase in wage range from \$15-\$17 to \$15-\$20 an hour.

Motion: Increase the wage range of seasonal employees from \$15-\$17 an hour to \$15-\$20 an hour.

Thank you in advance for your consideration of this request.



MEMO

Date: November 9, 2022

To: Honorable Mayor Dietz and City Council Members

From: James Gretencord, Director of Public Works

Cc: Jeannine Smith, City Administrator
Nancy Gross, Finance Director

Re: Consideration of Chamlin & Associates Road Repair Estimates

Budget Impact: To Be Determined.

Request: Consider Chamlin & Associates Road Repair Estimates and Give Staff Direction on Prioritizing Road Projects.

Discussion: Following the finalization of the road rating project, city staff tasked Chamlin & Associates to create three preliminary estimates for road projects. The selected roads were based on road conditions and the amount of traffic utilization each occurs. We are requesting council direction to prioritize these projects which will help city staff better seek proper funding sources.

Thank you in advance for your consideration of this request.



City of Wilmington

1165 S. Water Street ■ Wilmington, IL 60481-1633
Phone: 815-476-2175 ■ Fax: 815-476-9782 ■ www.wilmington-il.com

MEMORANDUM

DATE: November 7, 2022

TO: Mayor Dietz, City Council and Jeannine Smith, City Administrator

FROM: Nancy Gross, Finance Director 

RE: Collateralization of Accounts at Grundy Bank

Jeannine and I met with representatives of the Grundy Bank. Our discussion focused on the collateralization of the City's accounts. In the last audit, one of the recommendations noted that a portion of the City's accounts were not fully insured or collateralized. Collateralization secures funds over the \$250,000 FDIC threshold. The City then entered into an agreement in June 2021 with Grundy Bank to pledge the overage of the FDIC funding in the accounts to securities. The funds are now collateralized (secured) but earn no interest.

The Bank also offers two (2) additional products to secure the monies and at the same time earn interest.

CDARS : Allows the municipality to invest in CD's with seven (7) different terms. The yield on each is as follows:

4 weeks	1.88%
13 weeks	2.35%
26 weeks	2.58%
52 weeks	2.68%
2 years	2.87%
3 years	2.96%

IntraFi Network Deposits: Allows the municipality to invest the monies in several different money market accounts that are FDIC insured to \$250,000. Accounts we (the City) designate for investment are transferred to a network of banks in amounts under \$250,000 in an interest bearing account. The brochure for this is attached. The yield for this type of account is currently 1.35%. With no term limits.

The recommendation is that the City invest the TIF monies in laddered CDARS and that the remaining accounts are invested in the IntraFi Network Deposits. We will continue to collateralize our

accounts not separately invested in the above vehicles thru Associated Trust Company, N.A. but we will now be receiving monthly statements showing how the City funds are collateralized.

Please consider placing an agreement(s) on the November 15, 2022 agenda allowing investment of these monies thru Grundy Bank.

SECURITIES ACCOUNT CONTROL AGREEMENT

This Securities Account Control Agreement is made and entered into this 17 day of June, ²⁰²¹ 2020, by Associated Trust Company, N.A., Green Bay, Wisconsin ("Custodian"), Community Bank ("Pledgor") and City of Wilmingsen ("Pledgee") and sets forth the terms and conditions applicable to certain securities pledged by the Pledgor and deposited with the Custodian:

1. Pledgor maintains an Associated Trust Company, N.A. Safekeeping Account Number 116282129 ("Securities Account") with Custodian pursuant to a Safekeeping Agreement entered into between Custodian and Pledgor on 6-17-21. Subject to the terms and conditions of this Agreement, Custodian will hold for the account of the Pledgee such securities which the Pledgor shall deposit with the Custodian into the Securities Account ("Securities"). Pledgor has, pursuant to a written agreement between Pledgor and Pledgee ("Collateral Agreement"), granted to Pledgee a security interest in the Securities. All Securities shall be in book entry form.
2. In addition to, and not in lieu of, the obligation of the Custodian to honor Default Notices and instructions as set forth in Section 3 hereof, the Custodian agrees to maintain the Securities Account as follows:
 - (a) Until such time as the Custodian receives a Default Notice signed by the Pledgee pursuant to Section 3, the Pledgor shall have the authority to direct the Custodian with respect to the voting of any financial assets credited to the Securities Account.
 - (b) Until such time as the Custodian receives a Default Notice, the Pledgor may direct, to the extent permitted by the Associated Trust Company, N.A. Custody Agreement for Institutions between Custodian and Pledgor, the Custodian with respect to the selection of investments to be made for the credit of the Securities Account.
 - (c) All items of income, gain, interest, expense and loss recognized in the Securities Account shall be reported to the Internal Revenue Service and all state and local taxing authorities under the name and taxpayer identification number of the Pledgor.
 - (d) Custodian shall not release any Securities to the Pledgor nor accept any securities in substitution of the Securities except upon the written instructions of the Pledgee. However, the Pledgor shall be entitled to receive principal, interest, dividends and other like amounts as they from time to time are paid.
3. If Pledgor defaults on its obligations to the Pledgee, and written notice of any such default is delivered by the Pledgee to the Custodian ("Default Notice"), the Custodian will transfer and deliver the Securities to the Pledgee as instructed by the Pledgee without any further consent of Pledgor and the right of the Pledgor to receive payments as set forth in Section 2(d) shall immediately terminate. Custodian may rely upon, and shall have no liability or responsibility as a result of acting upon, any Default Notice or any other writing believed by it to be genuine and from a properly authorized person whether or not that is in fact the case; Custodian shall have no obligation to review or confirm the content of any such Default Notice or any other writing or verify that the actions taken pursuant to such Default Notice or other writing in accordance with this Agreement comply with any agreement entered into between Pledgor and Pledgee.
4. The Custodian's duties and responsibility shall be limited to those expressly set forth in this Agreement. The Custodian shall have no obligation to review or confirm that any actions taken pursuant to this Agreement comply with any other agreement or document. Substantial compliance by the Custodian with its standard procedures for the services the Custodian is providing hereunder shall be deemed to be the exercise by it of ordinary care. Custodian shall have no responsibility for the value, validity, regularity or genuineness of any of the Securities, or the effectiveness of any security interest in the Securities granted by the Pledgor to the Pledgee. Custodian shall have no obligation to notify the Pledgee of any payments made with respect to any Securities. The Custodian shall be entitled to rely on the advice of counsel (including counsels who are employees of Custodian) concerning all matters pertaining to the duties of the Custodian hereunder or relating hereto.
5. Custodian shall not be liable for, and Pledgor agrees to defend, indemnify, and hold Custodian harmless from and against, any and all liabilities, losses, damages, costs, or expenses (including, without limitation,

11. All notices, requests and demands, which any party is required or may desire to give any other party under any provision of this Agreement, must be in writing (unless otherwise specifically provided) and given to each party at the address provided below or to such other address as any party may designate by written notice to all other parties.

If to Custodian:

Associated Trust Company, N.A.
433 Main Street, 5th Floor
PO Box 12800
Queen Bay, WI 54307-2800

If to Pledgor:

Bank Name Grandy Bank
Address line 1 201 Liberty St
Address line 2 Morris, IL 60450
Address line 3

If to Pledgee:

City of Wilmington
11th S. Water Street
Wilmington, IL 60451

Each such notice, request, and demand shall be deemed given or made either by hand delivery, upon delivery, or by certified mail return receipt requested, upon delivery.

12. This Agreement may be executed in any number of counterparts, each of which shall be deemed an original and all of which together shall constitute one and the same instrument. Delivery of an executed counterpart of a signature page to this Agreement by pdf, facsimile or other electronic transmission shall be effective as delivery of a manually executed counterpart. Any party so executing this Agreement by pdf, facsimile or other electronic transmission shall promptly deliver a manually executed counterpart, provided that any failure to do so shall not affect the validity of the counterpart executed by pdf, facsimile or electronic transmission.
13. This Agreement shall be binding upon and shall inure to the benefit of Custodian, Pledgor and Pledgee and their respective successors and assigns. In the event of any conflict between this Agreement and the Safeguarding Agreement or any other agreement between Custodian and Pledgor, the terms of this Agreement shall control. If any term or provision set forth in this Agreement shall be invalid or unenforceable, the remainder of this Agreement, or the application of such terms or provisions to persons or circumstances, other than those to which it is held invalid or unenforceable, shall be construed in all respects as if such invalid or unenforceable term or provision were omitted. This Agreement shall be governed by and construed in accordance with the laws of the State of Wisconsin, without regard to its conflict-of-law provisions. The Custodian's jurisdiction within the meaning of Sections 8-110 and 9-304 of the Uniform Commercial Code of the State of Wisconsin, as in effect from time to time, is the State of Wisconsin.

[Signature Page Follows]

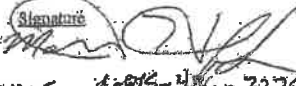
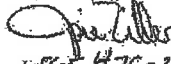
² Consult legal department if state of governing laws to be changed.

EXHIBIT A

AUTHORIZED PERSONS

With respect to the Securities Pledge Agreement dated 6-17-2021 by and among
Grundy Bank ("Pledgor"), Associated Trust Company, N.A. ("Custodian"), and
City of Wilmington ("Pledgee").

Custodian is directed to accept and act upon instructions received from any one of the following persons of Pledgee:

Name	Address	Title	Telephone and Fax Numbers	Signature
Matt Hoffman	1165 S. Water Street	Finance Director	915-476-2175, 1-815-476-2276	
Jorie Ziller	1165 S. Water St	City Administrator	915-476-2175, 1-815-476-2276	

476

4026556



Formerly ICS®



ICS - 1.35%

Smart Investing

Access Multi-Million-Dollar FDIC Insurance and Earn Interest

DDA and MMDA OFFERINGS

ICS® is now called IntraFi® Network DepositsSM

Member
FDIC



IntraFi Network Deposits Overview

IntraFi® Network DepositsSM product (formerly known as ICS®) is a smart, convenient way to safeguard your large deposits.

With IntraFi Network Deposits, your funds are placed into demand deposit accounts or money market deposit.

With IntraFi Network Deposits, you can

- Enjoy peace of mind knowing your funds are eligible for multi-million-dollar FDIC insurance
- Earn interest
- Save time by working directly with our bank
- Maintain flexibility
- Know the amount of your deposit can be used to invest in your local community¹



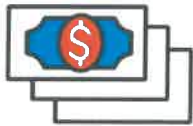
Placement of funds through IntraFi Network Deposits is subject to the terms, conditions, and disclosures in the program agreements, including the Deposit Placement Agreement (“DPA”). Limits apply and customer eligibility criteria may apply. Program withdrawals may be limited to six per month for funds placed into MMDAs. Although funds are placed at destination banks in amounts that do not exceed the FDIC standard maximum deposit insurance amount (“SMDIA”), a depositor’s balances at the relationship institution that places the funds may exceed the SMDIA (e.g., before settlement for a deposit or after settlement for a withdrawal) or be ineligible for FDIC insurance (if the relationship institution is not a bank). As stated in the DPA, the depositor is responsible for making any necessary arrangements to protect such balances consistent with applicable law. If the depositor is subject to restrictions on placement of its funds, the depositor is responsible for determining whether its use of IntraFi Network Deposits satisfies those restrictions. IntraFi Network, Network Deposits, and the IntraFi hexagon are service marks, and IntraFi and ICS, are registered service marks of IntraFi Network LLC.

Why IntraFi Network Deposits?



Enjoy Peace of Mind

Relax knowing that your funds are eligible for multi-million-dollar FDIC insurance, protection that's backed by the full faith and credit of the United States government. No one has ever lost a penny of FDIC-insured deposits.



Earn Interest

Earn one interest rate per product option.



Save Time

Work directly with us—a bank you know and trust—to access multi-million-dollar FDIC insurance, and say 'goodbye' to tracking collateral on an ongoing basis, managing multiple bank relationships, manually consolidating bank statements, and other time-consuming workarounds.

Why IntraFi Network Deposits?



Maintain Flexibility

Enjoy access to funds placed into demand deposit accounts and money market deposit accounts.



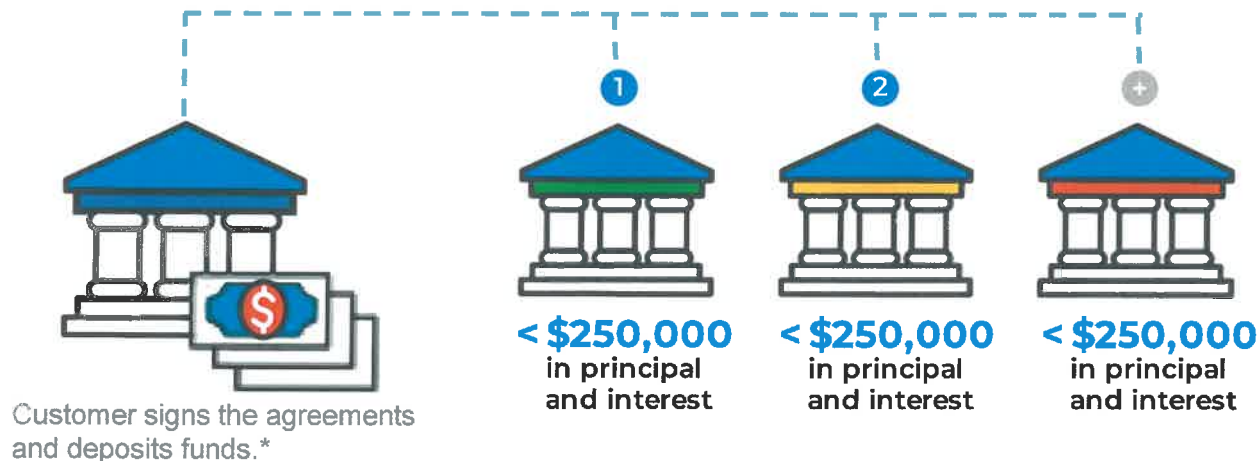
Support Your Community

Feel good knowing that the full amount of your funds placed through IntraFi Network Deposits can stay local to support lending opportunities that build a stronger community.¹

¹When deposited funds are exchanged on a dollar-for-dollar basis with other institutions that use IntraFi Network Deposits, our bank can use the full amount of a deposit placed through IntraFi Network Deposits for local lending, satisfying some depositors' local investment goals or mandates. Alternatively, with a depositor's consent, our bank may choose to receive fee income instead of deposits from other participating institutions. Under these circumstances, deposited funds would not be available for local lending.

How Does IntraFi Work?

Through just one bank relationship, you can access FDIC insurance from many. And you receive just one statement for demand and savings placements.



We, like other institutions that offer IntraFi Network Deposits, are members of a special network. When your organization uses the IntraFi Network Deposits to place funds, that deposit is divided into amounts under the standard FDIC insurance maximum of \$250,000 and placed in deposit accounts at other FDIC-insured banks that participate in the same network.

*The depositor must have or set up a transaction account for the use of the IntraFi Network Deposits demand and savings options. If the depositor chooses both the demand and savings options, the depositor will need to have a separate transaction account for each.

Follow These Straightforward Steps



DDA-MMDA Offering

-
- 1** You sign a DDA-MMDA Deposit Placement Agreement and a custodial agreement with us.
 - 2** You identify an existing transaction account (or set up a new one) to be used with IntraFi Network Deposits.
 - 3** Your funds are placed into deposit accounts at other IntraFi Network Deposits participating banks.²
 - 4** You can check balances and see where your funds are at all times using an online tool specially developed for IntraFi Network Deposits.
 - 5** You receive consolidated interest payments and statements from us.

²Funds are placed into demand deposit accounts using the demand option and money market deposit accounts using the savings option.

Utilize Convenient, Online Tools

When you choose IntraFi Network Deposits DDA or MMDA offerings, you gain access to the Depositor Control Panel. You can check your IntraFi Network Deposits balances, view where your funds could be placed, review and manage daily proposed fund placements, track monthly program withdrawals (savings only), and view your transaction history.

The screenshot displays the Depositor Control Panel for 'Sample Bank'. At the top, it shows the user 'SAMPLE DEPOSITOR' and a 'LOGOUT' link. A blue welcome message states: 'Welcome to the Depositor Control Panel. Your funds have been placed by your Relationship Institution through IntraFi® Network Deposits™ (formerly ICS®) into deposit accounts at depository institutions (each as a Destination Institution)'. To the right, two summary items are shown: 'Total Principal Balance \$3,187,718.26' and 'Total Accrued Interest \$72.68'. Below this is a link for 'Statements & Tax Documents'. The main section is titled 'IntraFi Network Deposits (7 accounts)'. A note says: 'To view account details, including current balances and a transaction history, or to review placements during Depositor Placement Review, click on an account title below.' A table lists the accounts with columns for Account Title, Depositors, Transaction Account No., Deposit Option, Rate, Principal Balance, and Accrued Interest. One account is visible: 'Regular Savings' for 'Sample Depositor' with account number '*****0763', a rate of 0.10, a principal balance of \$15,158.77, and accrued interest of \$0.37. A 'View' link is provided for this account.

Account Title	Depositors ↑	Transaction Account No.	Deposit Option	Rate %	Principal Balance	Accrued Interest	
Regular Savings	Sample Depositor	*****0763	Savings	0.10	\$15,158.77	\$0.37	→ View

Rest Assured

As always, your confidential information remains protected.

Let us know if you would like to learn more about our privacy policy.



Call us today

Let's talk about how IntraFi Network Deposits can work for you.

PUBLIC FUNDS



© 2019 Promontory Interfinancial Network, LLC



Why CDARS?

It's Everything
In One Place

[1] Limits apply.

[2] This benefit applies to some, but not all types of CDARS transactions. Let us know if this benefit is important to your organization, so that we can explain the relevant terms and conditions in more detail. See additional disclosures on page 14.

CDARS® is the smartest, most secure, and convenient way for government finance officers to invest in large-dollar, FDIC-insured CDs.

Why is that? With the CDARS service, your organization can have it all.

- CD-level returns
- Access to multi-million-dollar FDIC insurance through a single bank relationship¹
- Time savings due to significantly **less** paperwork
- The ability to support local communities²

Why CDARS?

CD-Level Returns
Peace Of Mind

CD-level returns.

Earn rates that may compare favorably with other investment alternatives, including U.S. Treasuries and money market mutual funds.



Peace of mind.

Enjoy the security associated with access to multi-million-dollar FDIC insurance on CD investments.



Why CDARS?

**Time-Saving
Conveniences**



Capitalize on the ease.

- Work directly with our bank to access multi-million-dollar FDIC insurance.
- Earn one interest rate per CD maturity.
- Receive just one regular statement from us.

Why CDARS?

Time-Saving
Conveniences

Forego the hassles of:

- Tracking and “marking to market” changing collateral values on an ongoing basis.
- Having uninsured investments to footnote in financial statements.
- Opening accounts at different banks or under different insurable capacities.
- Manually consolidating account statements.
- Calculating blended rates and manually consolidating interest disbursements on a recurring basis.

Why CDARS?

Community Investment

Feel good knowing that **the full amount** of your organization's invested funds can **stay local** to support lending opportunities that build a stronger community; when you invest in CDs placed through CDARS, your organization can also be investing in your own backyard.³



[3] This benefit applies to some, but not all types of CDARS transactions. See additional disclosures on page 14.

What MATURITIES are available?

Investing Is Flexible
to Match Your
Organizations Needs

Choose from seven convenient terms:

- 4 weeks 1.88%⁰
- 13 weeks 2.35%⁰
- 26 weeks 2.58%⁰
- 52 weeks 2.68%⁰
- 2 years (104 weeks) 2.87%⁰
- 3 years (156 weeks) 2.96%⁰

If desired, your organization can ladder CDs or combine maturities to create the equivalent of a customized term.⁴

[4] The creation of the equivalent of a customized term may require the use of a Resubmission Agreement. See additional disclosures relating to the use of a Resubmission Agreement on page 14.

How does CDARS. work?

We Make It Easy

Banks that offer CDARS are members of a special network—the CDARS Network.

When your organization deposits a large amount with us, we place your funds into CDs issued by other banks in the CDARS Network—in increments less than the standard FDIC insurance maximum (\$250,000)—so that both principal and interest are eligible for FDIC protection. As a result, your organization can receive coverage through many banks while working directly with just us and receiving just one regular statement.

An Example



Customer invests \$5,000,000 through a Network member. Twenty-one CDs under \$250,000 are issued by 21 network banks.

How does CDARS[®] work?

Investing Is Simple

1

Your organization signs a CDARS Deposit Placement Agreement and a custodial agreement, and deposits money with us.

2

Funds are placed using the CDARS service.

3

Your organization's CDs are issued by banks in the CDARS Network.

4

Your organization receives confirmation of its CDs from our bank.

5

Your organization receives consolidated interest payments and statements from us.

How does CDARS work?

Reporting Is Straightforward

Sample New Account Notice

Best Deal Bank
P.O. Box 20001
Anytown, US 12345-30001

THE KING CUSTOMER
123 MAIN STREET
ANYTOWN, US 78901

Date 05/03/18
Account *****900
Page 1 of 1

Subject: New Account Notice

Legal Account Title: THE KING CUSTOMER

Thank you for purchasing certificate(s) of deposit through Best Deal Bank. These deposit(s) have been placed by us, as your agent and custodian, through CDARS® with one or more FDIC-insured depository institutions. Per your request, the funds will be resubmitted for deposit at maturity. There is no grace period after maturity, so please advise us prior to 4:00 PM (local time) two business days before maturity if you wish to amend these instructions. If any of the following information is incorrect, or if you have any questions, please contact us at 555-123-4567 or send an email to contactus@bestdealbank.com.

Account ID	*****900	Principal Amount	5,000,000.00
Effective Date	05/03/18	Interest Rate	0.70%
Maturity Date	05/31/18	Annual Percentage Yield	0.70%
Interest Payment Frequency	AT MATURITY		
Interest Disbursement Type	Credit to Principle		
Maturity Disbursement Type	Reinvest		
Product Name	4-WEEK NON-PERSONAL CD		

Your certificate(s) of deposit were issued by the following FDIC-insured depository institution(s):

Innerst Savings Bank	Kentstown, MD	248,500.00
First Eagleville Bank	Clarksburg, WV	248,500.00
Lockland Bank	Springtown, MI	248,500.00
Southstreet Community Bank	Plainesburg, OK	248,500.00
Loring Savings Bank	Loring, WA	214,410.58
Southeast Regional Bank	Atlanta, GA	248,500.00
Kendall Bank and Trust	Kendall, WI	248,500.00
First Bank of Ogden town	Ogden town, IA	248,500.00
Bank of North Havebrook	Cobb, AZ	248,500.00
Copper Savings and Loan	Carson City, NV	194,784.49
Seashore National Trust Company	Orlando, FL	248,500.00
Sperry Sound Bank	Bellevue, OR	248,500.00
Harbor Bell Bank and Trust	Harbor Bell, RI	117,794.93
Huntingfield Bank	Dallas, TX	248,500.00
Forest View Savings Bank	Huntsville, AL	248,500.00
Torrence Bank	Adelaide, NJ	248,500.00
Amsel Bank and Trust	Rome, AR	248,500.00
Niles Bank	Toledo, OH	248,500.00
Callera Bank	Memphis, TN	248,500.00
Capital Bank of St. Lawrence	Lynn, MA	248,500.00
First Bank of Tiberton	Banger, ME	248,500.00
		<hr/> 5,000,000.00

Thank you for your business.

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Sample Monthly Statement

How does CDARS work?

Reporting Is Straightforward

Date Page 04/30/18 4 of 4

CD Issued by Torrence Bank

	Date Page 04/30/18 3 of 4	
	04/12/18 Deposit	248,500.00
	04/30/18 ENDING BALANCE	\$248,500.00
	OPENING BALANCE	\$0.00
	Deposit	248,500.00
	ENDING BALANCE	\$248,500.00

Date Page 04/30/18 1 of 4

ABC PROPERTIES
1815 SOUTH QUEEN STREET
ANYTOWN, US 78001

Subject: CDARS® Customer Statement

Legal Account Title: ABC PROPERTIES

Below is a summary of your certificate(s) of deposit, which we are holding for you as your custodian. These certificate(s) of deposit have been issued through CDARS by one or more FDIC-insured depository institutions. Should you have any questions, please contact us at 855-123-4567 or send an email to contactus@bestdebtbank.com.

Account ID	Effective Date	Maturity Date	Interest Rate	Opening Balance	Ending Balance
*****090	04/12/18	05/10/18	0.0%	\$0.00	\$5,000,000.00
TOTAL				\$0.00	\$5,000,000.00

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ACCOUNT OVERVIEW

Account ID: *****090 Effective Date: 04/12/18
 Product Name: 4-WEEK NON-PERSONAL CD Maturity Date: 05/10/18
 Interest Rate: 0.0% YTD Interest Paid: \$0.00
 Account Balance: \$5,000,000.00 Interest Account: 1112345678

The Annual Percentage Yield Earned is 0.00%.

CD Issued by Amsel Bank and Trust

YTD Interest Paid:	\$0.00	04/12/18	OPENING BALANCE		
Interest Account:	\$01.22	04/12/18	Deposit		
Int Earned Since Last Stmt:	\$01.22	06/30/18	ENDING BALANCE		

CD Issued by Bank of Hudsonville

YTD Interest Paid:	\$0.00	04/12/18	OPENING BALANCE		
Interest Account:	\$77.02	04/12/18	Deposit		
Int Earned Since Last Stmt:	\$77.02	06/30/18	ENDING BALANCE		

CD Issued by Bear County Community Bank

YTD Interest Paid:	\$0.00	04/12/18	OPENING BALANCE		
Interest Account:	\$77.02	04/12/18	Deposit		
Int Earned Since Last Stmt:	\$77.02	06/30/18	ENDING BALANCE		

CD Issued by Calera Bank

YTD Interest Paid:	\$0.00	04/12/18	OPENING BALANCE		
Interest Account:	\$77.02	04/12/18	Deposit		
Int Earned Since Last Stmt:	\$77.02	06/30/18	ENDING BALANCE		

CD Issued by Capital Bank of St. Lawrence

YTD Interest Paid:	\$0.00	04/12/18	OPENING BALANCE		
Interest Account:	\$77.02	04/12/18	Deposit		
Int Earned Since Last Stmt:	\$77.02	06/30/18	ENDING BALANCE		

CD Issued by Copper Savings and Loan

YTD Interest Paid:	\$0.00	04/12/18	OPENING BALANCE		
Interest Account:	\$77.02	04/12/18	Deposit		
Int Earned Since Last Stmt:	\$77.02	06/30/18	ENDING BALANCE		

CD Issued by First Bank of Tiberton

YTD Interest Paid:	\$0.00	04/12/18	OPENING BALANCE		
Interest Account:	\$77.02	04/12/18	Deposit		
Int Earned Since Last Stmt:	\$77.02	06/30/18	ENDING BALANCE		

CD Issued by Harbor Bell Bank and Trust

YTD Interest Paid:	\$0.00	04/12/18	OPENING BALANCE		
Interest Account:	\$77.02	04/12/18	Deposit		
Int Earned Since Last Stmt:	\$77.02	06/30/18	ENDING BALANCE		

CD Issued by Hartingfield Bank

YTD Interest Paid:	\$0.00	04/12/18	OPENING BALANCE		
Interest Account:	\$77.02	04/12/18	Deposit		
Int Earned Since Last Stmt:	\$77.02	06/30/18	ENDING BALANCE		

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What else
DO YOU NEED
to know?

**Confidential Information
Remains Protected**

Your organization's confidential information remains protected.

Let us know if you would like to learn more about our privacy policy.

Learn MORE

We're Here to Answer
Your Questions

Let's talk about how CDARS can work
for your organization.



Additional Information

Disclosures

The CDARS service has been designed to satisfy the FDIC's requirements for pass-through deposit insurance coverage.

Placement of funds through the CDARS service is subject to the terms, conditions, and disclosures in the service agreements, including the Deposit Placement Agreement ("DPA"). Limits apply. Although funds are placed at destination banks in amounts that do not exceed the FDIC standard maximum deposit insurance amount ("SMDIA"), a depositor's balances at the relationship institution that places the funds may exceed the SMDIA (e.g., before CDARS settlement for a deposit or after CDARS settlement for a withdrawal) or be ineligible for FDIC insurance (if the relationship institution is not a bank). As stated in the DPA, the depositor is responsible for making any necessary arrangements to protect such balances consistent with applicable law. If the depositor is subject to restrictions on placement of its funds, the depositor is responsible for determining whether its use of CDARS satisfies those restrictions.

When deposited funds are exchanged on a dollar-for-dollar basis with other banks in the CDARS Network, we can use the full amount of a deposit placed through CDARS for local lending, satisfying some depositors' local investment goals or mandates. Alternatively, with a depositor's consent, our bank may choose to receive fee income instead of deposits from other Network members. Under these circumstances, deposited funds would not be available for local lending.

As with any CDARS placement, a placement resubmitted by using a Resubmission Agreement is not guaranteed, and unallocated funds will be returned to the depositor. If, at the time for resubmission specified in a Resubmission Agreement, the relationship institution is no longer authorized to submit orders using CDARS, then the resubmission will not occur. The depositor, prior to resubmission, can withdraw the depositor's authorization to the relationship institution to resubmit the funds. If so, the Resubmission Agreement can provide that the relationship institution, in such circumstances, may impose an appropriate penalty, not unlike an early withdrawal penalty.

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